

GIP 3731

Box 562

Patent
236/238

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Tanaka et al.

Serial No.: 09/322,201

Filed: May 28, 1999

For: SPECIALLY SHAPED BALLOON
DEVICE FOR USE IN SURGERY
AND METHOD OF USE

Group Art Unit: N/A

Examiner: N/A



Los Angeles, California 90071
August 30, 1999

#4

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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

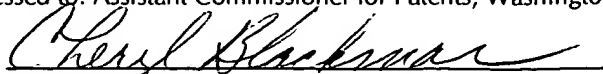
Sir:

Applicants hereby disclose to the Patent Office patents, publications, and other information of which they are aware. A Form PTO-1449 listing the items for consideration by the examiner is attached hereto. One copy of each patent and publication cited is being submitted with this Statement.

CERTIFICATE OF MAILING 37 C.F.R. § 1.8

I hereby certify, pursuant to 37 C.F.R. § 1.8, that this paper or fee (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service with sufficient postage as first class mail on the date shown below in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Dated: August 30, 1999


Cheryl Blackman

The items cited on the attached Form PTO-1449 may or may not be material to the patentability of the claims in the above-identified application, and their submission is not to be construed as an admission that the items are material or considered to be material (37 C.F.R. § 1.97(h)), or even that they qualify as "prior art" under 35 U.S.C. § 102 with respect to the claimed invention unless specifically designated as such. Identification of any publication or patent having an issue date or a publication date after the statutory bar date is not an admission that the publication was published, or that the patent was filed, before the invention claimed in this application was made by Applicants.

The filing of this Information Disclosure Statement is not to be construed to mean that a search has been made or that additional information material to the examination of this application does not exist.

No Fee

Because this statement is being submitted prior to the first action on the merits, no fee is required under 37 C.F.R. § 1.97(b). However, should the Patent Office determine that a fee is required, the Assistant Commissioner is hereby authorized to charge Deposit Account No. 12-2475 for the fee.

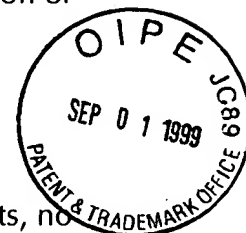
Respectfully submitted,

Dated: August 30, 1999

By: _____

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